Utility Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Mager et al

Art Unit: 2681

Examiner: TBD

Application No.: 09/975,524

CERTIFICATE OF MAILING

Filed: October 10, 2001

Imagery

051.P008X

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Commissioner for Patents. Washington, DC 20231 on:

For: Cooperative Wireless Luminescent

Date of Deposit:

Assistant Commissioner for Patents

Washington, D.C. 20231

02/21/2002 /2001 RADOFO1 00000005 09975524 -370.00 DP -378.00 OP

Notification of Status Change and

RECEIVED

-168.00 OP

Payment of Potential Shortage in Filing Fees

FFB 2 2 2002

Sir:

Technology Center 2600

Applicant hereby notifies the Commissioner of the fact that due to a recent business transaction, Applicant believes Applicant may no longer be entitled to claim small entity status. Accordingly, Applicant hereby formally withdraws such claim.

Further, Applicant encloses herewith a check for the sum of \$916.00, for the potential shortage in filing fees, resulting from Applicant's claim of small entity status at the time of filing. The enclosed payment is for the purpose of ensuring that Applicant is in full compliance with all regulation governing the application for letter patents. Occurrence of the recent business transaction has led to a review of past business transactions, resulting in the identification of other past business transactions previously unknown to Applicant's counsel. At least one of these past business transactions previously unbeknownst to Applicant's counsel may have rendered Applicant ineligible for the small business entity status at the time of filing.

Both Applicant and Applicant's counsel acted in good faith, without deceptive intent, in accordance with the knowledge known to Applicant and Applicant's counsel respectively at the

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Attorney Docket No.: 41051.P008 Application No.: 09/975,524

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time, and both Applicant and Applicant's counsel are hereby acting diligently to correct the possible error, upon learning recently of these past business transactions.

Applicant respectfully requests that the enclosed payment be accepted.

Should other fees be required for Applicant to tender this notice and payment of the potential shortage in filing fee, the Commissioner is hereby authorized to charge any of these shortages or credit any over payment to Deposit Account, number 501569.

Respectfully submitted, Columbia IP Law Group, P.C.

Date: January 30, 2002

Aloysius T.C. AuYeung

Reg. No.: 35,432

10260 SW Greenburg Rd., Suite 820 Portland, OR 97223 (503) 595-2800

Attorney Docket No.: 41051.P008 Application No.: 09/975,524 2

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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5

TRANSMITTAL **FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 09/975,524 **Filing Date** October 10, 2001 **First Named Inventor** Gary N. Mager 2681 Group Art Unit **Examiner Name** Not yet assigned Attorney Docket Number 41051.P008X

ENCLOSURES (check all that apply)								
XX Fee Transmittal F	form		Assignment Papers (for an Application)		After Allowance Communication to Group			
XX Fee Attach	ed		Drawing(s)		Appeal Communication to Board of Appeals and Interferences			
Amendment / Reply			Licensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final			Petition		Proprietary Information			
Affidavits/d	eclaration(s)		Petition to Convert to a Provisional Application		Status Letter			
Extension of Time Request			Power of Attorney, Revocation Change of Correspondence Address	xx	Other Enclosure(s) (please identify below):			
Express Abandonment Request			Terminal Disclaimer	Payı	otification of Status Change and ment of Potential Shortage in Filing s: and			
Information Disclosure Statement			Request for Refund		eturn Receipt Postcard			
Certified Copy of Priority Document(s)			CD, Number of CD(s)	RECEIVED				
Response to Missing Parts/ Incomplete Application			Remarks		FFR 2 2 2002			
Response to Missing Parts under 37 CFR 1.52 or 1.53					Technology Center			
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT								
Firm Aloysius T.C. AuYeung, Reg. No. 35,432 Or Individual name COLUMBIA IP LAW GROUP, PC								
Signature	Signature							
Date	January 30, 2002	- uc v						

CERTIFICATE OF MAILING

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Typed or printed name Heather L. Adamson

01/30/2002 Date

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PEB 1 1 2002 30

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 916.00

Complete if Known						
Application Number	09/975,524					
Filing Date	October 10, 2001					
First Named Inventor	Gary N. Mager	RECEIVE				
Examiner Name	Not yet assigned	HEOLIVE				
Group Art Unit	2681	FEB 2 2 200				
Attorney Docket No.	41051.P008X	1 50 0 0 500				

METHOD OF PAYMENT			FE	E CA	LCULATION (continued)	iology Ce
1. The Commissioner is hereby authorized to charge indicated	3. ADD	ITIONAL	L FEE	S		
— i ces and credit any overpayments to.		Large		Smal		
Deposit Account 501569	_	Entity	_	Entity	1	
Number	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Deposit Account Columbia IP Law Group, PC	105	130	205	65	Surcharge - late filing fee or oath	
Name	127	50	227	25	Surcharge - late provisional filing fee or	
Charge Any Additional Fee Required	139	130	139	130	cover sheet Non-English specification	
Under 37 CFR 1.16 and 1.17 Applicant claims small entity status.	147	2,520	147	2,520	For filing a request for ex parte reexamination	
See 37 CFR 1.27					Requesting publication of SIR prior to	
2. 🗸 Payment Enclosed:	112	920*	112	920*	Examiner action	
Check Credit Card Money Other	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
FEE CALCULATION	115	110	215	55	Extension for reply within first month	
1.BASIC FILING FEE	116	400	216	200	Extension for reply within second month	
Large Entity Small Entity	117	920	217	460	Extension for reply within third month	
Fee Fee (\$) Fee Fee Description	118	1,440	218	720	Extension for reply within fourth month	
Code Code (\$) Fee Paid 101 740 201 370 Utility filing fee	128	1,960	228	980	Extension for reply within fifth month	
106 330 206 165 Design filing fee	119	320	219	160	Notice of Appeal	
107 510 207 255 Plant filing fee	120	320	220	160	Filing a brief in support of an appeal	
108 740 208 370 Reissue filing fee	121	280	221	140	Request for oral hearing	
114 760 214 80 Provisional filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 0.00	140	110	240	55	Petition to revive - unavoidable	
	141	1,280	241	640	Petition to revive - unintentional	
2. EXTRA CLAIM FEES Fee from	142	1,280	242	640	Utility issue fee (or reissue)	
Extra Claims below Fee Paid	143	460	243	230	Design issue fee	
Total Claims 62 -20**= 42 X 18.00 =	144	620	244	310	Plant issue fee	
Independent 7 -3**= 4 X 84.00 =	122	130	122	130	Petitions to the Commissioner	
	123	50	123	50	Petitions related to provisional applications	
Multiple Dependent	126	180	126	180	Submission of Information Disclosure Stmt	
Large Entity Small Entity Fee Fee Fee Fee Fee Description	581	40	581	40	Recording each patent assignment per property (times number of properties)	
Code (\$) Code (\$)	146	740	246	370	Filing a submission after final rejection	
103 18 203 9 Claims in Excess of 20 102 84 202 42 Independent claims in excess of 3					(37 CFR § 1.129(a))	ļI
104 28C 204 140 Multiple dependent claim, if not paid	149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
109 84 209 42 ** Reissue independent claims over	179	740	279	370	Request for Continued Examination (RCE)	
original patent 110 18 210 9 **Reissue claims in excess of 20 and over	169	900	169	900	Request for expedited examination of a design application	
. original patent SUBTOTAL (2) (\$)	Other fee	e (specify)	Pot	ential defi	icit in filing fee due to possible ineligibility of small entity status	\$916.00
**or number previously paid, if greater; For Reissues, see above	*Reduced l	by Basic Fil	ing Fee 1	Paid	SUBTOTAL (3) (\$) 9	16.00

SUBMITTED BY		Complete (if applicable)						
Name (Print/Type)	Aloysius T.C. AuYeung	Registration No. (Attorney/Agent)	35,432 Telephone 5		503-595-2800			
Signature	+ 1			Date	January 30, 2002			

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